SANTA ANA UNIFIED SCHOOL DISTRICT

AR 4112.42/4212.42/4312.42(a)

All Personnel

Drug and Alcohol Testing for Commercial Drivers

The District's drug and alcohol testing program shall apply to all employees who operate a commercial motor vehicle, including any vehicle designed to transport 16 or more passengers. This includes casual, intermittent or occasional drivers as well as full-time, regularly employed drivers. (49 C.F.R. 382.107)

The Superintendent or designee shall contract for collection and testing services and shall ensure that testing procedures and facilities used for the tests conform with the requirements of the Code of Federal Regulations, Title 49, Part 40.

Drivers shall inform their supervisors if at any time they are using a controlled substance which their physician has prescribed for therapeutic purposes. Drivers using such a substance may continue to perform safety-sensitive functions only if the physician has advised the driver that the substance will not adversely affect his/her ability to safely operate a commercial motor vehicle. (49 C.F.R. 382.213)

The Director of Classified Personnel shall act as program coordinator and be responsible for questions related to this policy or its implementation. Supervisors or Managers shall be responsible for observing performance and/or behavior as well as documenting events to determine when testing for a drug or alcohol is necessary for reasonable cause. Each District employee governed by this policy has the responsibility to be knowledgeable of and to fully comply with the policy.

Testing Procedures

All specimen collections for drugs and alcohol shall comply with 49 CFR, Part 40. A urine specimen will be collected to test for drugs. A breath sample will be collected to test for alcohol, using an Evidential Breath Testing Device (EBTD) and evaluated by a certified Breath Alcohol Technician (BAT).

Substances Which Will be Tested (include but not limited to)

Alcohol Cocaine Opiates

Amphetamines Marijuana Phencyclidine (PCP)

Drug Testing Laboratory

The District shall use a testing laboratory certified under the guidelines in 53 FR 11970 (4/11/88) and subsequent amendments and who provides services in compliance with Part 40 and Part 382, CRF. The name and address of each Substance Abuse and Mental Health Services (SAMHSA) laboratory used by the District shall be listed in the DOT Drug/Alcohol Testing Program Manual.

Time Period Testing is Conducted

Employees covered by this policy may be tested just before, during, or just after their assigned work shift as enumerated in the DOT Drug/Alcohol Testing Program Manual.

Pre-Employment Tests

A pre-employment drug test shall be required of an applicant only after he/she has been offered the position. Drug tests shall be conducted before the first time a driver performs any safety-sensitive function for the District. (49 C.F.R. 382.301)

Safety-sensitive functions include all on-duty functions performed from the time a driver begins work or is required to be ready to work until he/she is relieved from work and all responsibility for performing work. It includes driving; waiting to be dispatched; inspecting and servicing equipment; supervising, performing or assisting in loading and unloading; repairing or obtaining and waiting for help with a disabled vehicle; performing driver requirements related to accidents; and performing any other work for the District or paid work for any other entity. (49 C.F.R. 382.107, 395.2)

Exceptions may be made for drivers who have participated in the drug testing program required by law within the previous 30 days, provided that the District has been able to make all verifications required by law. (49 C.F.R. 382.301)

Pre-employment testing shall also be required of employees returning to work after a layoff period if the employee was removed from the random testing pool. If the employee remains in the random testing pool, additional testing shall not be necessary. (49 C.F.R. 382.301) (cf. 4112.41 - Pre-Employment Drug/Alcohol Testing)

Post-Accident Tests

Alcohol and controlled substance tests shall be conducted as soon after an accident as practicable on any driver:

- Who was performing safety-sensitive functions with respect to the vehicle, if the accident involved loss of human life (49 C.F.R. 382.303)
- 2. Who receives a citation under state or local law for a moving traffic violation arising from the accident (49 C.F.R. 382.303)
- 3. Who was performing safety-sensitive functions with respect to the vehicle, if the accident involved a serious medical injury 4. Whose perform

No such driver shall use alcohol for eight hours after the accident, or until after he/she undergoes a post-accident alcohol test, whichever occurs first. (49 C.F.R. 382.209) If an alcohol test is not administered within two hours of the accident or if a drug test is not administered within 32 hours, the District shall prepare and maintain records explaining why the test was not conducted. Tests shall not be given if not administered within eight hours after the accident for alcohol or within 32 hours for drugs. (49 C.F.R. 382.303)

Tests conducted by authorized federal, state or local officials shall fulfill post-accident testing requirements provided they conform to applicable legal requirements and are obtained by the District. Breath tests will validate only the alcohol test and cannot be used to fulfill controlled substance testing obligations. (49 C.F.R. 382.303)

Random Tests

Alcohol and drug tests shall be conducted on a random basis at unannounced times throughout the year. The number of random alcohol and drug tests shall be at least equal to those required by federal regulations. Drivers shall be selected by a scientifically valid random process, and each driver shall have an equal chance of being tested each time selections are made. Tests for alcohol shall be conducted just before, during or just after the performance of safety-sensitive functions. (49 C.F.R. 382.305)

Employees off work due to leaves, vacation and layoffs shall be informed that they remain subject to random testing. Employees drawn for such testing shall be notified and tested as soon as practicable after they return to duty.

Reasonable Suspicion Tests

An alcohol or drug test shall be conducted if a supervisor or District official trained in accordance with law has reasonable suspicion that a driver has violated the District's alcohol or drug prohibitions. This reasonable suspicion must be based on specific, contemporaneous, articulable observations concerning the driver's appearance, behavior, speech or body odors. The observations may include indications of the chronic and withdrawal effects of controlled substances. (49 C.F.R. 382.307)

Alcohol tests are authorized for reasonable suspicion only if the required observations are made during, just before or just after the period of the work day when the driver must comply with alcohol prohibitions. An alcohol test may not be conducted by the person who determines that reasonable suspicion exists to conduct such a test. If an alcohol test is not administered within two hours of a determination of reasonable suspicion, the District shall prepare and maintain a record explaining why this was not done. Attempts to conduct alcohol tests shall terminate after eight hours. (49 C.F.R. 382.307)

A supervisor or District official who makes observations leading to a controlled substance reasonable suspicion test shall make a written record of his/her observations within 24 hours of the observed behavior or before the results of the drug test are released, whichever is earlier. (49 C.F.R. 382.307)

The Superintendent or designee shall ensure that an employee under reasonable suspicion is transported to the designated collection or testing site. Enforcement Any driver who refuses to submit to a post-accident, random or reasonable suspicion test, or to a follow-up test as described below, shall not perform or continue to perform safety-sensitive functions. (49 C.F.R. 382.211) Therefore, any driver who so refuses shall be immediately suspended and subject to disciplinary action, up to and including dismissal. (cf. 4117.4 - Dismissal) (cf. 4118 - Suspension/Disciplinary Action)

A driver who is tested and found to have an alcohol concentration of .02 or greater but less than .04 may not perform or continue to perform safety-sensitive functions including driving a commercial motor vehicle until the start of the driver's next regularly scheduled duty period, but not less than 24 hours after the test was administered. (49 C.F.R. 382.505)

A driver who tests positive for drugs or is found to have an alcohol concentration of .04 or greater shall be subject to disciplinary action up to and including dismissal.

A driver who violates District prohibitions related to drugs and alcohol shall receive from the District the names, addresses and telephone numbers of substance abuse professionals and counseling and treatment programs available to evaluate and resolve drug and alcohol-related problems. The employee shall be evaluated by a substance abuse professional who shall determine what help, if any, the driver needs in resolving such a problem. Any substance abuse professional who determines that a driver needs assistance shall not refer the driver to a private practice, person or organization in which he/she has a financial interest, except under circumstances allowed by law. (49 C.F.R. 382.605)

An employee identified as needing help in resolving a drug or alcohol problem shall be evaluated by a substance abuse professional to determine that he/she has properly followed the prescribed rehabilitation program. (49 C.F.R. 382.605)

Return-to-Duty Tests

If a driver who has violated the District's drug or alcohol prohibition is returned to performing safety-sensitive duties, a drug or alcohol test shall be conducted. (49 C.F.R. 382.309) Employees whose conduct involved drugs shall not return to duty in a safety-sensitive function until the return-to-duty drug test produces a verified negative result. Employees whose conduct involved alcohol shall not return to duty in a safety-sensitive function until the return-to-duty alcohol test indicates an alcohol concentration of less than .02. (49 C.F.R. 382.605)

Follow-up Tests

A driver who violates the District's drug or alcohol prohibition and is subsequently identified by a substance abuse professional as needing assistance in resolving a drug or alcohol problem shall be subject to unannounced follow-up testing as directed by the substance abuse professional in accordance with law. Follow-up alcohol testing shall be conducted just before, during or just after the time when the driver is performing safety-sensitive functions. (49 C.F.R. 382.311)

Follow-up testing shall consist of at least six tests in the first 12 months following the driver's return to duty. The substance abuse professional may terminate the follow-up testing at any time after the first six tests if he/she determines that testing is no longer needed. Testing shall not occur beyond 60 months from the date of the driver's return to duty. (49 C.F.R. 382.605)

Maintenance of Records

Employee drug and alcohol test results and records shall be maintained under strict confidentiality and released only in accordance with law. Upon written request, a driver shall receive copies of any records pertaining to his/her use of drugs or alcohol, including any records pertaining to his/her drug or alcohol tests. Records shall be made available to a subsequent employer or other identified persons only as expressly requested in writing by the driver. (49 C.F.R. 382.405) (cf. 4119.23/4219.23/4319.23 - Unauthorized Release of Confidential/Privileged Information)

Notifications

Each driver shall receive educational materials that explain the requirements of the Code of Federal Regulations, Title 49, Part 382, together with a copy of the District's policy and regulations for meeting these requirements. Representatives of employee organizations shall be notified of the availability of this information. The information shall identify all of the following: (49 C.F.R. 382.601)

- 1. The person designated by the District to answer drivers' questions about the materials
- 2. The categories of drivers who are subject to the Code of Federal Regulations, Title 49, Part 382
- 3. Sufficient information about the safety-sensitive functions performed by drivers to make clear what period of the work day the driver is required to comply with Part 382
- 4. Specific information concerning driver conduct that is prohibited by Part 382
- 5. The circumstances under which a driver will be tested for drugs and/or alcohol under Part 382
- 6. The procedures that will be used to test for the presence of drugs and alcohol, protect the driver and the integrity of the testing processes, safeguard the validity of test results, and ensure that test results are attributed to the correct driver
- 7. The requirement that a driver submit to drug and alcohol tests administered in accordance with Part 382
- 8. An explanation of what constitutes a refusal to submit to a drug or alcohol test and the attendant consequences
- 9. The consequences for drivers found to have violated the drug and alcohol prohibitions of Part 382, including the requirement that the driver be removed immediately from safety-sensitive functions and the procedures for referral, evaluation and treatment
- 10. The consequences for drivers found to have an alcohol concentration of .02 or greater but less than .04
- 11. The effects of drugs and alcohol on an individual's health, work and personal life; signs and symptoms of a drug or alcohol problem (the driver's or a coworker's); and available methods of intervening when a drug or alcohol problem is suspected, including confrontation, referral to an employee assistance program and/or referral to management (49 C.F.R. 382.601) (cf. 4159 /4259/4359 Employee Assistance Programs)
- 12. Other legal requirements, District policies and disciplinary consequences related to the use of alcohol and drugs. (cf. 4020 Drug and Alcohol-Free Workplace)

Each driver shall sign a statement certifying that he/she has received a copy of the above materials. (49 C.F.R. 382.601) (cf. 4112.9 - Employee Notifications) Before any driver operates a commercial motor vehicle, the District shall provide him/her with post-accident procedures that will make it possible to comply with post-accident testing requirements. (49 C.F.R. 382.303)

Before drug and alcohol tests are performed pursuant to the Code of Federal Regulations, Title 49, Part 382, the District shall inform drivers that the tests are required by these regulations. (49 C.F.R. 382.113) The District shall notify a driver of the results of a pre-employment drug test if the driver requests such results within 60 calendar days of being notified of the disposition of his/her employment application. (49 C.F.R. 382.411) The District shall notify a driver of the results of random, reasonable suspicion and post-accident drug tests if the test results are verified positive. The District shall also tell the driver which controlled substance(s) were verified as positive. (49 C.F.R. 382.411)

Prohibited Conduct

- 1. No driver shall refuse to submit to a required drug and/or alcohol test, including, post-accident, random, reasonable suspicion, or follow-up tests.
- 2. No driver shall report to duty or remain on duty when the driver uses a drug, except when the substance is prescribed by a licensed physician and the prescribed drug does not adversely affect the employee's ability to perform safety sensitive functions.
- 3. No driver whose breath alcohol concentration is 0.04 or greater shall remain on duty.
- 4. No driver shall be permitted to have more than one occurrence of a breath alcohol concentration of 0.02 to 0.039.
- 5. No driver may use or possess alcohol while on duty.
- 6. No driver shall perform a safety sensitive function within four (4) hours after using alcohol.
- 7. No driver shall use alcohol within eight (8) hours after an accident or until an alcohol test has been completed, whichever comes first.

Consequences for Prohibited Conduct

- 1. A driver that engages in prohibited conduct detailed in this policy or the DOT Drug/Alcohol Testing Program Manual shall not remain on duty.
- 2. A driver shall not be hired if he/she refuses to submit to a pre-employment drug and/or alcohol test.
- 3. A driver shall not remain on duty if he/she refuses to submit to a post-accident, reasonable cause, random or follow-up drug and/or alcohol test.
- 4. A driver shall be removed from duty for a minimum of 24 hours if a positive alcohol breath test shows an alcohol concentration from 0.02 to 0.039. More than one occurrence of a breath alcohol concentration of 0.02 to 0.039 shall result in referral to a substance abuse professional for further evaluation.

- 5. A driver with a breath alcohol concentration of 0.04 or greater may not return to a safety sensitive function until he/she has been retested and found to have an alcohol concentration level of 0.019 or less and cleared to work by a Substance Abuse Professional acceptable to the District.
- 6. Any driver who violates this policy and/or prohibited standards enumerated in the Drug/Alcohol Testing Program Manual shall be subject to disciplinary action, up to and including dismissal.

Referral, Evaluation, and Treatments

Drivers engaged in conduct prohibited by this policy will be evaluated by a Substance Abuse Professional and provided with recommended resources or assistance to resolve problems associated with drug use or alcohol misuse.

Medical Review Officer (MRO)

The MRO, a licensed physician, with a knowledge of drug abuse and/or alcohol disorders, contracted by the District shall comply with the testing requirement of Part 40.33 and 382, CFR. The role of the MRO is to review and to interpret all test results obtained in the implementation of this policy. The District's contracted MROs are listed in the DOT Drug/Alcohol Testing Program Manual.

Substance Abuse Professional (SAP)

The SAP is a licensed physician, psychologist, or other specially trained professional with a knowledge of and clinical experience in diagnosis and treatment of drug and alcohol related disorders. SAP's work with employees found in violation of this policy or who seek information regarding drug use and alcohol misuse as specified in the DOT Drug/Alcohol Testing Program Manual. The SAP's acceptable to the District to implement this policy will be provided to employees as necessary.

Confidentiality

Information regarding any driver's test results will not be released, except to the District, without the driver's written consent. Any exceptions to the confidentiality policy are specifically enumerated in the DOT Drug/Alcohol Testing Program Manual.

The District may obtain, with the driver's written consent, any records relating to this drug and alcohol policy, from a previous employer as specified in the DOT Drug/Alcohol Testing Program Manual.

Approved: (1/96 10/96) Santa Ana, CA